

Scott Miller, Ph.D.

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EXPERIENCE

University of Tampa (Sykes College of Business) Associate Professor of Finance	Tampa, FL	2016 – Present 2016 – Present
Al Wooten Jr. Heritage Center Vice Chairman of the Board of Directors	Los Angeles, CA	2011 – Present
Pepperdine University (Seaver College) John and Francis Dugan Professor of Finance Associate Professor of Finance (Tenured) Assistant Professor of Finance	Malibu, CA	2009 – 2016 2016 2015 – 2016 2009 – 2015
University of California Los Angeles (UCLA) Visiting Professor of Economics	Los Angeles, CA	2014 – 2016

EDUCATION

Walton College of Business, University of Arkansas Ph.D. in Finance	Fayetteville, AR	2009
Drake University Masters of Business Administration in Finance	Des Moines, IA	2005
Missouri Southern State University Bachelor of Science in Accounting, Economics & Finance	Joplin, MO	2003

PUBLICATIONS

1. Institutional Impacts on Ownership Decisions by Emerging and Advanced Market MNCs, 2016, (with Kevin Lee and Rushiun Liou), *Cross Cultural & Strategic Management*. Forthcoming.
2. The Relative Contributions of Equity and Subordinated Debt Signals in Predicting Bank Distress during the Financial Crisis, 2015, (w/ Eric Olson and Tim Yeager), *Journal of Financial Stability*. 16: 118-137.
3. The Effect of Opacity on Market Discipline in the lead up to the Financial Crisis, 2015, (w/ Kevin Lee and Tim Yeager), *Banking and Finance Review*. Forthcoming.
4. The Effects of Bank Capital Constraints on Post-Acquisition Performance, 2015, (w/ Chris Brune and Kevin Lee), *Journal of Economics and Finance*. 39(1): 75-99.

5. Biases and Student Portfolio Management Behavior Profiles: An Empirical Taxonomy, 2014, (w/ Nicole Velasquez, Jeffrey Johnson and Christi Wann), *Journal of Economics and Finance Education*. 13(2): 99-111.
6. The Effects of Investor Bias and Gender on Portfolio Performance and Risk, 2013, (w/ Kevin Lee, Nicole Velasquez and Christi Wann), *International Journal of Business and Finance Research*. 7(1).
7. Black Swans before the Black Swan: Evidence from International LIBOR-OIS Spreads, 2012, (w/ Eric Olson and Mark Wohar), *Journal of International Money and Finance*. 31(6): 1339-1357.
8. The Performance of Equipment Lease-Backed Securities During the Financial Crisis, 2012, (w/ Levon Goukasian), *Journal of Equipment Lease Financing*. 30(1): 1-8.
9. Equipment Lease Securitization Performance Versus Other Asset Classes, 2011, (w/ Levon Goukasian), *ELFF Research Grant*.
10. Charter Value, Tobin's Q and Risk during the Subprime Financial Crisis, 2011, (w/ Tim Yeager and Jeff Jones), *Journal of Economics and Business*. 63(5): 372-392.

Publications with undergraduate students:

11. A Domestic Oriented Microfinance Approach, 2015, (w/ Kristine Hilliard), *Bentley Microfinance Review*. Forthcoming.
12. Assessing the Components of Effective Corporate Governance, 2013, (w/ Hussein Dewji), *Strategic Management Review*. 7(1): 47-63. (Recognized as a "Top Article" by the Strategic Management Review).
13. The Impact of a Secondary Market on Video Game Purchase Intentions, 2012, (w/ Nicole Velasquez and Hannah Newman), *Review of Business Information Systems*. 16(3): 103-112.

EXTERNAL RESEARCH GRANT

"Securitization Performance vs. Other Asset Classes" (w/ Levon Goukasian)
Equipment Leasing and Finance Foundation (ELFF)
 1825 K Street NW, Suite 900 Washington, DC 20006

WORKING PAPERS (Co-authors in parentheses)

"Do Covenants Dampen Risk Signals from Bank Subordinated Debt Yields?"
 "Spin Control: An Analysis of CEO Rhetoric in Letters to Shareholders" (Jaye Smith and James Scott-Ransom)
 "The Financial Crisis and Market Discipline: The Effect of Opacity on Charter Value" (Chris Brune and Kevin Lee)
 "The Role of Behavioral Biases in Assessing Risk" (Hannah Boundy and Chris Brune)

CONFERENCE PRESENTATIONS

- “Spin Control: An Analysis of CEO Rhetoric in Letters to Shareholders”
 Society for the Advancement of Management (SAM) Conference, Las Vegas, NV, March 2015 (co-author)
 Seaver College Business Advisory Council Meeting, Malibu, CA, February 2015 (co-author)
- “The Institutional Impact of Entry Mode Decisions by Emerging Market and Advanced Market MNCs”
 Southwestern Finance Association (SWFA) Conference, Dallas, TX March 2014
- “The Effect of Bank Subordinated Debt Yields on Market Discipline Leading Up to the Financial Crisis”
 Financial Management (FMA) Conference, Chicago, IL, October 2013
 Midwest Finance Association (MFA) Conference, Chicago, IL, March 2013
 Southwestern Finance Association (SWFA) Conference, Albuquerque, NM, March 2013 (co-author)
- “Assessing the Components of Effective Corporate Governance”
 Southern California Conference on Undergraduate Research, Camarillo, CA November 2012 (co-author)
 Seaver College Research Council Meeting, Malibu, CA, March 2013 (co-author)
- “The Effects of Investor Bias and Gender on Portfolio Performance and Risk”
 Global Conference on Business and Finance (GCBF), Honolulu, HI, January 2012
- “Secondary Market Effects on Video Game Consumers”
 Western Decision Sciences Institute (WDSI) Conference, Portland, OR April 2011 (co-author)
 Southern California Conference on Undergraduate Research, Malibu, CA October 2010 (co-author)
- “Black Swans before the Black Swan? Evidence from International LIBOR-OIS Spreads”
 Seaver College Research Council Meeting, Malibu, CA October 2010 (co-author)
- “The Effects of Bank Capital Constraints on Post-Acquisition Performance”
 Seaver College Research Council Meeting, Malibu, CA February 2011
 Midwest Finance Association (MFA) Conference, Chicago, IL March 2011
 Financial Management Association (FMA) Conference, Denver, CO October 2011 (co-author)
 Southwestern Finance Association (SWFA) Conference, Houston, TX March 2011 (co-author)
- “Do Covenants Dampen Risk Signals from Bank Subordinated Debt Yields?”
 Financial Management Association (FMA) Conference, New York, NY October 2010
 Seaver College Research Council Meeting, Malibu, CA February 2010
- “Core Charter Value and Bank Risk: Extracting the Business Cycle from Charter Value”
 Southern Finance Association (SFA) Conference, Charleston, SC November 2007
 San Francisco Federal Reserve, San Francisco, CA September 2007

INVITED PRESENTATIONS

Center for Financial Literacy “Seven Principles of Personal Finance”	Malibu, CA	2016
Park View High School “Fear of Failure”	Inglewood, CA	2016
Crenshaw High School “Adversity and Affliction”	Los Angeles, CA	2016
Al Wooten Jr. Heritage Center	Los Angeles, CA	2015

“Financial Planning and Investing”

Anthem Blue Cross – Associate Resource Group “Seven Principles of Personal Finance”	Los Angeles, CA	2014
Thomas Jefferson Independent Day School “Keynote Speaker at the Commencement Ceremony for Class of 2013”	Joplin, MO	2013
Alpha Kappa Psi Professional Speaker Event Series “The Anticipation of Uncertainty”	Malibu, CA	2013
Delta Sigma Pi Recruitment Week Speaker “Adapting to a Dynamic Business World”	Malibu, CA	2012

PUBLICATIONS IN CONFERENCE PROCEEDINGS

“Spin Control: An Analysis of CEO Rhetoric in Letters to Shareholders” Society for the Advancement of Management (SAM) Conference, Las Vegas, NV, March 2015
“Effect of Bank Subordinated Debt Yields on Market Discipline before the Financial Crisis” (w/ K. Lee & T. Yeager) Midwestern Finance Association (MFA) Conference, Chicago, IL, March 2013
“The Effects of Investor Bias and Gender on Portfolio Performance and Risk” (w/ K. Lee, N. Velasquez & C. Wann) Global Conference on Business and Finance (GCBF), Honolulu, HI, January 2012
“Secondary Market Effects on Video Game Consumers” (w/ Nicole Velasquez and Hannah Newman) Western Decision Sciences Institute (WDSI) Portland, OR, April 2011
“Excess Capital and Post-Acquisition Performance” (w/ Chris Brune and Kevin Lee) Midwestern Finance Association (MFA) Conference, Chicago, IL, March 2011

HONORS AND AWARDS

John and Francis Duggan Professor of Finance	Pepperdine University	2016
Respass Fellow	Pepperdine University	2013, 2014, 2015
Seaver Dean’s Research Grant	Pepperdine University	2012, 2014, 2015
Howard A. White Award for Excellence in Teaching	Pepperdine University	2012
Seaver Fellow	Pepperdine University	2013
Outstanding Research Award	The Institute for Business and Finance Research	2012
Best in Session Award	Global Conference on Business and Finance	2012
External Research Grant	Equipment Leasing & Finance Foundation	2011-2012
Seaver College AYURI Grant	Pepperdine University	2010
Semifinalist Best Paper Award	Financial Management Association	2010
Excellence in Teaching Award	Walton College of Business	2008
Research Assistantship	Walton College of Business	2005-2009
Doctoral Academy Fellowship	University of Arkansas	2005-2009

TEACHING EXPERIENCE

University of California Los Angeles

Money and Banking	(Evaluation = 8.67 out of 9)	2014-Present
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Pepperdine University

International Finance	(Evaluation = 5.00 out of 5)	2009-Present
Investments	(Evaluation = 4.94 out of 5)	2009-Present
Financial Management	(Evaluation = 4.81 out of 5)	2010-Present
Financial Markets and Institutions	(Evaluation = 5.00 out of 5)	2013-Present
Financial Derivatives	(Evaluation = 5.00 out of 5)	2011

University of Arkansas

Investments	(Evaluation = 4.8 out of 5)	2009
Corporate Finance	(Evaluation = 4.9 out of 5)	2008
Data Analysis	(Evaluation = 4.8 out of 5)	2006-2007

Des Moines Area Community College

Payroll Accounting		2004-2005
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SERVICE TO THE PROFESSION

Session Chair Positions

- International Governance Session at the SWFA Conference (2014)
- Banking and the Financial Crisis Session at the MFA Conference (2013)
- Financial Constraints and Investment Decisions Session at the FMA Conference (2012)

Discussant Positions

- "Managerial Sharing, Mutual Fund Connections and Performance" – FMA Conference (2015)
- "Agency Problems and Internal Capital Market Inefficiency" – SWFA Conference (2014)
- "Forbearance, Prompt Closure and the Valuation of Bank Subordinated Debt" – MFA Conference (2013)
- "Bank Market Power and Short Term and Long Term Firm Investment" – FMA Conference (2012)
- "Market Discipline for Financial Institutions and the Mortgage Lending Crisis" – FMA Conference (2010)

Ad-Hoc Reviewer Positions

- Journal of Economics and Business (2009 – Present)
- Southern California Conference for Undergraduate Research (SCCUR) (2010 – Present)
- International Review of Economics and Finance (2010 – Present)
- Journal of Economics and Finance (2011 – Present)
- The Institute for Business and Finance Research (2012 – Present)

Textbook Reviews

- International Financial Management 12th Edition: Madura, Cengage Publishing
- Investment Analysis and Portfolio Management, 10th Edition: Reily & Brown, Cengage Publishing

Memberships in Professional Organizations

- Financial Management Association (FMA) (2010 – Present)
- Midwest Finance Association (MFA) (2010 – Present)
- Southwestern Finance Association (SWFA) (2010 – Present)
- Beta Gamma Sigma International Honor Society (2016 – Present)

SERVICE TO THE COMMUNITY

Al Wooten Jr. Heritage Center (www.wootencenter.org) South Los Angeles, CA

- Vice Chairman on the Board of Directors (2012 – Present)
- Education Committee Chair (2013 – Present)
- Created, directed and coordinated the Shawn Patterson Memorial Basketball Camp (2006 – Present)
- Created the Shawn Patterson Memorial Summer Scholarship Fund that has allowed over 20 inner city children to attend the Wooten Center's summer program free of charge (2012 – Present)
- Led teams comprised of Pepperdine students, church members and military veterans in a refurbishment project to paint, clean and improve the overall facilities at the Wooten Center (2013)

Wooten Microfinance Program (www.wootencenter.org) South Los Angeles, CA

- Founder of microfinance and entrepreneurship program in south Los Angeles. (2011 – Present)
- Directed student teams to assist entrepreneurs in the creation of business plans (2011 – Present)
- Interviewed and hired interns to work as consultants directly with each entrepreneur (2011 – Present)

Step Forward Day (www.pepperdine.edu) Greater Los Angeles Area, CA

- Al Wooten Jr Heritage Center – Coordinated a group of students for a refurbishment project (2013, 2014)
- The Midnight Mission – Helped clean the facilities at a homeless shelter on Skid Row (2012)
- Food Share – Picked various types of fruits and vegetables for a local food pantry (2011)
- Malibu United Methodist Church – Helped paint and clean the facilities at MUMC (2010)
- Santa Monica Women's Shelter – Helped clean the facilities at a homeless shelter of women (2009)

Thomas Jefferson Independent Day School (www.tjeffschool.org) Joplin, MO

- Created, directed and coordinated the Shawn Patterson Memorial Basketball Camp (2006 – 2009)
- Created the Shawn Patterson Memorial Scholarship Fund that has provided over \$5,000 of financial assistance for underprivileged kids to attend Thomas Jefferson Independent Day School (2005 – Present)

Doris Cantlay Center (www.ccoc.org) Santa Ana, CA

- Prepared food and basic necessities to individuals and families in need (2014)

Hope of the Valley Rescue Mission (www.hopeofthevalley.org) Sun Valley, CA

- Thrift store and homeless shelter volunteer (2013)

The Mission Continues (www.missioncontinues.org) Los Angeles, CA

- Refurbishment project with military veterans (2014)

Salvation Army (www.salvationarmy-socal.org) Los Angeles, CA

- Server and Usher at the Thanksgiving Dinner Celebration in downtown Los Angeles (2013)

Relay For Life (www.relayforlife.org) Malibu, CA

- Member of the Seaver College Faculty Staff team (2010, 2011, 2013)

City Scholars Foundation Leadership Academy (www.cityscholars.org) Los Angeles, CA

- Attended meetings and provided input to improve after school programs for youth in Los Angeles (2013)

Habitat for Humanity (www.habitat.org) Inglewood, CA

- Assisted in the repair, painting and refurbishment of a home in Inglewood, CA (2010)

SERVICE TO PEPPERDINE UNIVERSITY

Committees

- Chair of Seaver College International Business AACSB and WASC Assessment Committee (2009 – Present)
- Member of the Seaver College Career Planning Committee (2014 – Present)
- Member of the Institutional Review Board (IRB) Committee (2010 – Present)
- Member of the Marketing Recruitment Committee (2010)
- Member of the Seaver Business Division Graduation Banquet Committee (2012)
- Member of the Maxy Pope Alles Scholarship Committee (2012)
- Member of the Seaver Business Administration Division Chair Search Committee (2012 – 2014)

Advisory Roles

- Faculty Advisor to the Microfinance Club (2010 – Present)
- Faculty Advisor to the CME Group Commodity Trading Challenge (2012)
- Academic Advisor to approximately 40 business students each semester (2010 – Present)

Mentoring Roles

- Faculty Mentor for an incoming tenure-track faculty member at Seaver College (2012 – 2013)
- Spiritual Mentor for Pepperdine's Convocation Series (2011)
- Evaluator and Judge for GSEP Masters in Social Entrepreneurship Program final project (2013)

- Honorary faculty initiate into the Seaver College Chapter of Delta Sigma Pi (2014)

Sponsorship Roles

- Faculty sponsor for the “Banking on Trust” Microfinance Convocation Event (2012)
- Faculty sponsor for the John Magnay (Opportunity International) Convocation Event (2011)
- Faculty sponsor for the Susan Chunda (Opportunity International) Convocation Event (2010)

Making Waves College Tour

- Met with a group of 40 prospective first generation college students to discuss college and the admissions process (Summer 2014).

Other Roles

- Coordinated Bloomberg Training Sessions for Students (2010 – Present)
- Held open office hours during Waves Weekend (2010 – Present)
- Hosted prospective students in classes (2009 – Present)
- Participant in Sakai Pilot Trial (2009 – 2010)
- Participant in University Services Fair (2009 – Present)
- Participated in Seaver College Career Center’s Malibu Employer Retreat (2012 – 2013)
- Devotional Speaker for Phi Eta Sigma Ceremony and Business Division Meetings (2013)

CORPORATE EXPERIENCE

Financial Accountant

Principal Financial Group

2003-2005

Responsibilities included maintaining, preparing and analyzing financial information to ensure the accuracy of Net Asset Value calculations for mutual funds at the end of each business day. Utilized research tools such as Bloomberg, FTID, and IDC to verify corporate actions, anticipate market fluctuations and track prices of domestic and international securities, derivatives and short-term commercial paper.

REFERENCES

Available Upon Request