

# SRIDHAR GOGINENI

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## AREAS OF INTEREST

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**Research:** Corporate Governance, Mergers and Acquisitions, Private Equity, Real Estate.

**Teaching:** Corporate Finance, Financial Markets and Institutions, Empirical Finance, Analytics.

## ACADEMIC POSITIONS

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<b>The University of Tampa, Tampa FL</b> Associate Professor in Finance	<i>2022 - present</i>
<b>The University of Tampa, Tampa FL</b> Assistant Professor in Finance	<i>2017 - 2022</i>
<b>University of Wyoming, Laramie WY</b> Assistant Professor in Finance	<i>2011 - 2017</i>

## EDUCATION

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<b>University of Oklahoma, Norman OK</b> Ph.D. in Finance	<i>2011</i>
<b>Ohio University, Athens OH</b> M.A. in Financial Economics	<i>2005</i>
<b>Birla Institute of Technology &amp; Science, Pilani India</b> Master of Management Studies	<i>2002</i>
<b>Chartered Financial Analyst<sup>®</sup> (CFA)</b>	

## PUBLICATIONS

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- Gogineni, Sridhar and Arun Upadhyay, 2022. Venture Capital and Private Equity Investors, Governance and Success of IPOs - Evidence from India (*accepted at **Journal of Financial Research***)
- Gogineni, Sridhar, Scott Linn and Pradeep Yadav, 2022. Vertical and Horizontal Agency Problems in Private Firms: Ownership Structure and Operating Performance **Lead article: *Journal of Financial and Quantitative Analysis*** 57(4), 1237-1278. [Link](#).
- Gogineni, Sridhar and Nicholas Carline, 2021. Antitakeover Provisions and M&A Strategy: A Causal Analysis *Journal of Corporate Finance*, 69:101962 [Link](#).
- Gogineni, Sridhar and John Puthenpurackal, 2021. Why do Takeover Targets Protect Acquirers? Evidence from Force-the-Vote Provisions *Financial Management*, 50(3), 805-843. [Link](#).
- Gogineni, Sridhar and Pawan Jain, 2021. The Role of Target Termination Fees in REIT Mergers *Journal of Real Estate Finance and Economics*, 63, 1-29. [Link](#)
- Gogineni, Sridhar and Arun Upadhyay, 2020. Target Governance Provisions and Acquisition Types *Journal of Business Research*, 110, 160 - 172. [Link](#)

7. Gogineni, Sridhar and John Puthenpurackal, 2017. The Impact of Go-Shop Provisions in Merger Agreements. *Financial Management*, 46(1), 275-315. [Link](#)
8. Gogineni, Sridhar and John Puthenpurackal, 2014. Target Management Involved Buyouts: Impact on Takeover Competition, Litigation Risk and Shareholder Returns. *Journal of Financial Research*, 37(3), 323-356. [Link](#)
9. Gogineni, Sridhar, 2010. Oil and the Stock Market: An Industry Level Analysis. *Financial Review*, 45, 995-1010. [Link](#)

## COMPLETED WORKING PAPERS

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1. Gogineni, Sridhar, Nicholas Carline and Pradeep Yadav. Self-Serving Fiduciaries? Board Discretion in Resisting Takeover Bids (*under revision*).
2. Gogineni, Sridhar and John Puthenpurackal. The Impact of Matching Rights Provisions in M&A agreements (*preparing for submission*)
3. Gogineni, Sridhar, Gary Caton, Scott Linn and Romora Sitorus. The Impact of Government Spending Policy Uncertainty on Corporate Policies: Evidence from U.S. Oil and Gas Firms.
4. Gogineni, Sridhar and Soohyung Kim. Deal Protection Provisions and Merger Outcomes: Evidence from REIT Going Private Transactions.

## WORKS-IN-PROGRESS

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1. Gogineni, Sridhar, Nicholas Carline and Pradeep Yadav. Women Entrepreneurs and Risk Preferences - Evidence from Single Owner Firms.
2. Gogineni, Sridhar and Arun Upadhyay. Value of Friendly Directors.
3. Gogineni, Sridhar and Nicholas Carline. Title TBD

## PERMANENT WORKING PAPERS

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1. Gogineni, Sridhar, Pawan Jain and Aurn Upadhyay. REIT Valuation: A Global Perspective.
2. Gogineni, Sridhar, Rik Chakraborty and Suman Banerjee. Women Empowerment and IPO Underpricing.
3. Gogineni, Sridhar, Scott Linn and Pradeep Yadav. Evidence on the Determinants of Cash Holdings in Public and Private Companies.

## OTHER PUBLICATIONS

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1. Gogineni, Sridhar and William Megginson, 2010. IPOs and Other Non-Traditional Fundraising Methods of Private Equity Firms. Published as chapter in *Private Equity: Fund Types, Risks and Returns, and Regulation*.

## TEACHING

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Classes taught at the University of Tampa.

1. Graduate Courses: Financial Management and Strategy; Analytics in Finance; Foundations of Finance.
2. Undergraduate Courses: Financial Management, Intermediate Financial Management.

Classes taught at the University of Wyoming.

1. Graduate Courses: Corporate Finance and Governance; Empirical Finance.
2. Undergraduate Courses: Advanced Corporate Finance; Corporate Finance; Financial Markets and Institutions.

## **SERVICE**

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### **Service to Profession:**

1. Member of the Selection Committee - Financial Management Association (FMA) Annual Meetings 2022, 2019; Southern Finance Association Meetings 2022 ; FMA Asia Meetings 2014 .
2. Discussant: Eastern Finance Association Annual Meetings 2021, 2019, 2017; Australasian Finance and Banking Conference 2015; Annual Meetings of the Midwest Finance Association 2014; Annual Meetings of the FMA 2021, 2018, 2008, 2007, 2006; European Meeting of the FMA, 2008.
3. Ad-hoc Reviewer: Journal of Financial Research, Journal of Business Research, Journal of Economics and Business; Review of Quantitative Finance and Accounting; Managerial Finance; Finance Research Letters.

### **Service to The University of Tampa:**

1. Member - Graduate Curriculum Committee, Ad-hoc Committee on Online Graduate Programs, University Library Committee, College of Business Student Involvement Committee, Faculty Grants Committee, Finance Faculty Search Committee.
2. Chair - Finance Faculty Search Committee - 2018.

### **Service to The University of Wyoming:**

1. Member, Finance Faculty Search Committee - 2011, 2012, 2014, 2015.
2. Member, Admissions Committee for MS Finance and MS Economics and Finance Programs - 2012 to 2016.

## **GRANTS**

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1. Gogineni, Sridhar. Energy GA Fellowship Program, Office of Academic Affairs, University of Wyoming. (Academic years 2015-2016 & 2016-2017. \$20,600 per annum to fund a doctoral student).
2. Gogineni, Sridhar. Graduate Student Enhancement Grant, Summer 2014, University of Wyoming. (\$4,274.22).
3. Gilbert, Ben (P.I) and Sridhar Gogineni (co P.I) Sustainable Business Practices Grant, Summer 2015, College of Business, University of Wyoming. (\$2,000.00).

## **GRADUATE STUDENT SUPERVISION**

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**Chair of Dissertation Committee:** Duong Thuy Do (MS, Spring 2013); Eric Becker (MS, Spring 2013); Jesse Ellison (MS, Spring 2014); Anya Zhurkova (MS, Spring 2015); Dilraj Kaur (MS, Spring 2017).

**Member of Dissertation Committee:** Pallavi Choudhuri (PhD - Summer 2014).

## CONFERENCE AND SEMINAR PRESENTATIONS

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1. International Conference On Financial Markets & Corporate Finance (ICFMCF 2022), Indian Institute of Technology, Mumbai, India *July 2022*
2. Lancaster University, Lancaster, UK *December 2021\**
3. Financial Management Association Annual Meetings, Denver, USA *October 2021*
4. Centre for Financial Research, University of Cologne, Cologne, Germany *July 2021\**
5. University of Oklahoma, Norman, USA *May 2021\**
6. Academy of Economics and Finance Annual Meetings\*\*, Atlanta, USA *February 2020*
7. Financial Management Association Annual Meetings, New Orleans, USA *October 2019*
8. The Emerging Challenges of Corporate Governance, Indian Institute of Management, Bangalore, India *June 2019*
9. Eastern Finance Association Annual Meetings, Miami, USA *April 2019*
10. Academy of Economics and Finance Annual Meetings, St. Petersburg, USA *February 2019*
11. The University of Tampa, Tampa, USA *September 2018\**
12. University of New Mexico, Albuquerque, New Mexico, USA *April 2018\**
13. University of Sydney, Sydney, Australia *August 2017\**
14. Northern Illinois University, DeKalb, USA *November 2016*
15. The University of Tampa, Tampa, USA *November 2016*
16. Financial Management Association Annual Meetings, Las Vegas, USA *October 2016*
17. Northern Finance Association Annual Meetings, Mont Trablant, Canada *Sep 2016\**
18. IFABS Conference on Firm Value Maximization and Corporate Social Responsibility, Surrey, UK *Sep 2016\**
19. Oregon State University, Corvallis, USA *May 2016*
20. Front Range Finance Seminar, Denver, USA *April 2016*
21. Southwest Finance Association Annual Meetings, Oklahoma City, USA *March 2016*
22. Australasian Finance and Banking Conference, Sydney, Australia *December 2015*
23. Financial Management Association Annual Meetings, Orlando, USA *October 2015*
24. Association of Environmental and Resources Economists Summer Conference, San Diego, USA *June 2015\**
25. Allied Social Sciences Association (ASSA) Annual Meeting, Boston, USA *January 2015\**
26. Oklahoma Research Conference, University of Oklahoma, Norman, USA *April 2014*
27. Annual Law and Business Conference, Vanderbilt Law School, Nashville, USA *September 2013\**
28. Exeter University, Exeter, United Kingdom *April 2013\**
29. Colorado State University, Fort Collins, USA *April 2013*
30. Hebrew University of Jerusalem, Jerusalem, Israel *March 2013\**
31. 17th New Zealand Finance Colloquium, Dunedin, New Zealand *February 2013\**
32. University of Otago, Dunedin, New Zealand *January 2013\**
33. Financial Management Association annual meeting, Atlanta, USA *October 2012*
34. Texas Christian University, Dallas, USA *April 2012\**
35. University of Missouri, Columbia, USA *April 2012\**
36. Financial Management Association Annual Meeting, Denver, USA *October 2011*
37. Frontrange Finance Conference, Denver, USA *October 2011*
38. Illinois State University, Normal, USA *November 2010*
39. University of Wyoming, Laramie, USA *November 2010*
40. University of Nevada - Las Vegas, Las Vegas, USA *October 2010*
41. Financial Management Association annual meeting, New York, USA *October 2010*

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| 42. INFINITI Conference on International Finance, Dublin, Ireland                  | <i>June 2010*</i>   |
| 43. European Center for Corporate Control Studies, Lille, France                   | <i>March 2010</i>   |
| 44. Financial Management Association Annual Meeting, Reno, USA                     | <i>October 2009</i> |
| 45. Indian School of Business, Hyderabad, India                                    | <i>July 2009*</i>   |
| 46. Financial Management Association Annual Meeting, Dallas, USA                   | <i>October 2008</i> |
| 47. Financial Management Association Europe Annual Meeting, Prague, Czech Republic | <i>June 2008</i>    |
- \*\* recipient of the best paper in finance award; \* indicates presentations by co-authors*

## **MEDIA CITES**

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1. The Columbia Law School Blue Sky Blog, July 2022. How Do Boards Exercise Their Discretion to Resist Takeover Bids? [Link](#)
2. The Columbia Law School Blue Sky Blog, June 2016. The Impact of Go-Shop Provisions in Merger Agreements. [Link](#)
3. The Wall Street Journal, March 25, 2011. That's Oil Folks: Why You Don't Need More in Your Portfolio. [Link](#)

## **REFERENCES**

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- Dr. Scott Linn; University of Oklahoma; 405-325-3444; [slinn@ou.edu](mailto:slinn@ou.edu)
- Dr. Pradeep Yadav; University of Oklahoma; 405-325-5591; [pyadav@ou.edu](mailto:pyadav@ou.edu)
- Dr. John Puthenpurackal; University of North Texas; 702-895-1184; [john.puthenpurackal@unt.edu](mailto:john.puthenpurackal@unt.edu)
- Dr. Suman Banerjee; Stevens Institute of Technology; 201-216-3689 ; [sbanerj2@stevens.edu](mailto:sbanerj2@stevens.edu)
- Dr. Arun Upadhyay; Florida International University; 305-348-5427; [arupadhy@fiu.edu](mailto:arupadhy@fiu.edu)
- Dr. Speros Margetis; University of Tampa; 813-257-3285 [smargetis@ut.edu](mailto:smargetis@ut.edu)

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